

FEDERAL RESERVE BANK
OF NEW YORK

[Circular No. 2056]
May 10, 1940]

Executive Order Regulating Transactions In Foreign Exchange, Transfers of Credit,
and the Export of Coin and Currency

and

Regulations Relating to Transactions In Foreign Exchange, Transfers of Credit,
Payments, and the Export or Withdrawal of Coin, Bullion and Currency, and Transfers,
Withdrawals and Exportations of, or Dealings In, Evidences of Indebtedness or
Ownership; and to Reports of Foreign Property Interests in the United States.

*To All Banking Institutions, and Others Concerned,
in the Second Federal Reserve District:*

At the request of the Secretary of the Treasury, we transmit to you herewith,
printed on the following pages, a copy of each of the following:

Executive Order No. 6560, dated January 15, 1934, Regulating Transac-
tions in Foreign Exchange, Transfers of Credit, and the Export of
Coin and Currency;

Executive Order No. 8405, dated May 10, 1940, amending Executive
Order No. 8389, dated April 10, 1940; and

Regulations of the Secretary of the Treasury, dated May 10, 1940,
amending Regulations, dated April 10, 1940, Relating to Transactions
in Foreign Exchange, Transfers of Credit, Payments, and the Export
or Withdrawal of Coin, Bullion and Currency, and Transfers, With-
drawals and Exportations of, or Dealings In, Evidences of Indebted-
ness or Ownership; and to Reports of Foreign Property Interests in
the United States.

The new Executive Order amends Executive Order No. 8389 of April 10, 1940,
so as to extend the prohibitions therein to property in which The Netherlands,
Belgium and Luxembourg, or any national thereof, has at any time on or since
May 10, 1940 had any interest of any nature whatsoever. Such order also prohibits,
except as specifically authorized in regulations or licenses, all transfers, withdrawals
or exportations of, or dealings in, any evidences of indebtedness or evidences of
ownership of property.

Appropriate forms on which to make applications to engage in foreign exchange
transactions, etc., are being prepared and will be available for distribution to all

interested persons. Pending preparation of such new forms, existing Form TFE-1 may be used.

Attention is invited to the fact that the new regulations eliminate the requirement that reports be filed on Form TFR-200 relating to Norwegian and Danish property interests.

Any person in the Second Federal Reserve District having any question as to whether a particular transaction is permitted only under license should forward his inquiry, with a statement of all appropriate facts and circumstances, either to the Federal Reserve Bank of New York or to the Secretary of the Treasury, Washington, D. C.

GEORGE L. HARRISON,
President.

EXECUTIVE ORDER No. 6560

REGULATING TRANSACTIONS IN FOREIGN EXCHANGE, TRANSFERS OF CREDIT, AND THE EXPORT OF COIN AND CURRENCY

By virtue of the authority vested in me by Section 5(b) of the Act of October 6, 1917 (40 Stat. L., 411) as amended by Section 2 of the Act of March 9, 1933, entitled "An Act to provide relief in the existing national emergency in banking and for other purposes", I, FRANKLIN D. ROOSEVELT, PRESIDENT OF THE UNITED STATES OF AMERICA, do declare that a period of national emergency continues to exist, and by virtue of said authority and of all other authority vested in me, do hereby prescribe the following regulations for the investigation, regulation, and prohibition of transactions in foreign exchange, transfers of credit between or payments by banking institutions as herein defined, and export of currency or silver coin, by any person within the United States or any place subject to the jurisdiction thereof:

SECTION 1. Every transaction in foreign exchange, transfer of credit between any banking institution within the United States and any banking institution outside of the United States (including any principal, agent, home office, branch, or correspondent outside of the United States of a banking institution within the United States), and the export or withdrawal from the United States of any currency or silver coin which is legal tender in the United States, by any person within the United States, is hereby prohibited, except under license therefor issued pursuant to this executive order: *Provided, however,* that, except as prohibited under regulations prescribed by the Secretary of the Treasury, foreign exchange transactions and transfers of credit may be carried out without a license for (a) normal commercial or business requirements, (b) reasonable traveling and other personal requirements, or (c) the fulfillment of legally enforceable obligations incurred prior to March 9, 1933.

SECTION 2. Possessions of the United States. Except as prohibited in regulations prescribed by the Secretary of the Treasury, transfers of credit between banking institutions in the continental United States and banking institutions in other places subject to the jurisdiction of the United States (including principals, agents, home offices, branches, or correspondents in such other places, of banking institutions within the continental United States), may be carried out without a license.

SECTION 3. Licenses. The Secretary of the Treasury, acting directly or through any agencies that he may designate, and the Federal Reserve banks acting in accordance with such rules and regulations as the Secretary of the Treasury may from time to time prescribe, are hereby designated as agencies for the granting of licenses as hereinafter provided. Licenses may be granted authorizing such transactions in foreign exchange, transfers of credit and exports of currency (other than gold certificates) or silver coin in such specific cases or classes of cases as the Secretary of the Treasury may determine in regulations prescribed hereunder and rulings made pursuant thereto.

SECTION 4. Reports. The Federal Reserve banks shall keep themselves currently informed as to foreign exchange transactions entered into or consummated, and transfers of credit made between banking institutions outside of the continental United States and banking institutions in their districts, and report to the Secretary of the Treasury all transactions in foreign exchange and all such transfers of credit not permitted under Sections 1 or 2 hereof which are effected or attempted in their districts without a license.

SECTION 5. Regulations. The Secretary of the Treasury is authorized and empowered to prescribe from time to time regulations to carry out the purposes of this order, and to provide in such regulations or by rulings made pursuant thereto, the conditions under which licenses may be granted by the Federal Reserve banks and by such other agencies as the Secretary of the Treasury may designate; and the Secretary of the Treasury may require any person engaged in any transaction, transfer, export, or withdrawal referred to in this executive order to furnish under oath complete information relative thereto, including the production of any books of account, contracts, letters, or other papers, in connection therewith in the custody or control of such person either before or after such transaction, transfer, export, or withdrawal is completed.

SECTION 6. Penalties. Whoever wilfully violates or knowingly participates in the violation of any provision of this executive order or of any license, order, rule, or regulation issued or prescribed hereunder, shall be subject to the penalties provided in Section 5 (b) of the Act of October 6, 1917, as amended by Section 2 of the Act of March 9, 1933.

SECTION 7. Definitions. As used in this executive order the term "United States" means the United States and any place subject to the jurisdiction thereof; the term "continental United States" means the states of the United States, the District of Columbia, and the Territory of Alaska; the term "person" means an individual, partnership, association, or corporation; and the term "banking institution" includes any person engaged primarily or incidentally in the business of banking, of granting or transferring credits, or of purchasing and selling foreign exchange or procuring purchasers and sellers thereof, as principal or agent; and, for the purposes of this order, each home office, branch, principal, agent, or correspondent of any person so engaged shall be regarded as a separate "banking institution".

SECTION 8. Section 8 of the executive order of August 28, 1933, relating to the hoarding, export, and earmarking of gold coin, bullion, or currency and to transactions in foreign exchange, is hereby revoked.

This executive order and any rules, regulations, or licenses prescribed or issued hereunder may be modified or revoked at any time.

FRANKLIN D. ROOSEVELT.

THE WHITE HOUSE,
January 15, 1934.

(A copy of the executive order of August 28, 1933, to which reference is made in Section 8 of the above executive order, was transmitted with our circular No. 1273, dated August 31, 1933.)

EXECUTIVE ORDER No. 8405

AMENDMENT OF EXECUTIVE ORDER No. 8389 OF APRIL 10, 1940, AMENDING EXECUTIVE ORDER No. 6560, DATED JANUARY 15, 1934.

Executive Order No. 8389 of April 10, 1940, is amended to read as follows:

“AMENDMENT OF EXECUTIVE ORDER No. 6560, DATED JANUARY 15, 1934, REGULATING TRANSACTIONS IN FOREIGN EXCHANGE, TRANSFERS OF CREDIT, AND THE EXPORT OF COIN AND CURRENCY.

“By virtue of the authority vested in me by Section 5(b) of the Act of October 6, 1917 (40 Stat. 411), as amended, and by virtue of all other authority vested in me, I, FRANKLIN D. ROOSEVELT, PRESIDENT OF THE UNITED STATES OF AMERICA, do hereby amend Executive Order No. 6560, dated January 15, 1934, regulating transactions in foreign exchange, transfers of credit, and the export of coin and currency by adding the following sections after section 8 thereof:

“SECTION 9. Notwithstanding any of the provisions of sections 1 to 8, inclusive, of this Order, all of the following are prohibited, except as specifically authorized in regulations or licenses issued by the Secretary of the Treasury pursuant to this Order, if involving property in which Norway or Denmark or any national thereof has at any time on or since April 8, 1940, had any interest of any nature whatsoever, direct or indirect, or if involving property in which The Netherlands, Belgium or Luxembourg or any national thereof has at any time on or since May 10, 1940, had any interest of any nature whatsoever, direct or indirect:

“A. All transfers of credit between any banking institutions within the United States; and all transfers of credit between any banking institution within the United States and any banking institution outside the United States (including any principal, agent, home office, branch, or correspondent outside of the United States, of a banking institution within the United States);

“B. All payments by or to any banking institution within the United States;

“C. All transactions in foreign exchange by any person within the United States;

“D. The export or withdrawal from the United States, or the earmarking of gold or silver coin or bullion or currency by any person within the United States;

“E. All transfers, withdrawals or exportations of, or dealings in, any evidences of indebtedness or evidences of ownership of property by any person within the United States; and

“F. Any transaction for the purpose or which has the effect of evading or avoiding the foregoing prohibitions.

“SECTION 10. *Additional Reports.*

“A. Reports under oath shall be filed on such forms, at such time or times and from time to time, and by such persons, as provided in regulations prescribed by the Secretary of the Treasury, with respect to all property of any nature whatsoever of which Norway, Denmark, The Netherlands, Belgium or Luxembourg or any national thereof is or was the owner, or in which Norway, Denmark, The Netherlands, Belgium or Luxembourg or any national thereof has or had an interest of any nature whatsoever, direct or indirect, and with respect to any acquisition, transfer, disposition, or any other dealing in such property.

“B. The Secretary of the Treasury may require the furnishing under oath of additional and supplemental information, including the production of any books of account, contracts, letters or other papers with respect to the matters concerning which reports are required to be filed under this section.

“SECTION 11. *Additional Definitions.*

In addition to the definitions contained in section 7, the following definitions are prescribed:

“A. The terms “Norway” and “Denmark”, respectively, mean the State and the Government of Norway and Denmark on April 8, 1940, the terms “The Netherlands”, “Belgium”, and

"Luxembourg", mean the State and the Government of The Netherlands, Belgium and Luxembourg on May 10, 1940, and any political subdivisions, agencies and instrumentalities of any of the foregoing, including territories, dependencies and possessions, and all persons acting or purporting to act directly or indirectly for the benefit or on behalf of any of the foregoing. The terms "Norway", "Denmark", "The Netherlands", "Belgium" and "Luxembourg" respectively, shall also include any and all other governments (including political subdivisions, agencies, and instrumentalities thereof and persons acting or purporting to act directly or indirectly for the benefit or on behalf thereof) to the extent and only to the extent that such governments exercise or claim to exercise de jure or de facto sovereignty over the area which, on April 8, 1940, constituted Norway and Denmark and which on May 10, 1940, constituted The Netherlands, Belgium and Luxembourg.

"B. The term "national" of Norway or Denmark shall include any person who has been or whom there is reasonable cause to believe has been domiciled in, or a subject, citizen or resident of Norway or Denmark at any time on or since April 8, 1940, but shall not include any individual domiciled and residing in the United States on April 8, 1940, and shall also include any partnership, association, or other organization, including any corporation organized under the laws of, or which on April 8, 1940, had its principal place of business in Norway or Denmark or which on or after such date has been controlled by, or a substantial part of the stock, shares, bonds, debentures, or other securities of which has been owned or controlled by, directly or indirectly, one or more persons, who have been, or whom there is reasonable cause to believe have been, domiciled in, or the subjects, citizens or residents of Norway or Denmark at any time on or since April 8, 1940, and all persons acting or purporting to act directly or indirectly for the benefit or on behalf of the foregoing.

"C. The term "national" of The Netherlands, Belgium or Luxembourg shall include any person who has been or whom there is reasonable cause to believe has been domiciled in, or a subject, citizen or resident of The Netherlands, Belgium or Luxembourg at any time on or since May 10, 1940, but shall not include any individual domiciled and residing in the United States on May 10, 1940, and shall also include any partnership, association, or other organization, including any corporation organized under the laws of, or which on May 10, 1940, had its principal place of business in The Netherlands, Belgium or Luxembourg, or which on or after such date has been controlled by, or a substantial part of the stock, shares, bonds, debentures, or other securities of which has been owned or controlled by, directly or indirectly, one or more persons, who have been, or whom there is reasonable cause to believe have been, domiciled in, or the subjects, citizens or residents of The Netherlands, Belgium or Luxembourg, at any time on or since May 10, 1940, and all persons acting or purporting to act directly or indirectly for the benefit or on behalf of the foregoing.

"D. The term "banking institution" as used in section 9 includes any person engaged primarily or incidentally in the business of banking, of granting or transferring credits, or of purchasing or selling foreign exchange or procuring purchasers and sellers thereof, as principal or agent, or any person holding credits for others as a direct or incidental part of his business, or brokers; and, each principal, agent, home office, branch or correspondent of any person so engaged shall be regarded as a separate "banking institution".

"SECTION 12. *Additional Regulations.*

"The regulations of November 12, 1934, are hereby modified insofar as they are inconsistent with the provisions of sections 9 to 11, inclusive, of this Order, and except as so modified are hereby continued in full force and effect. The Secretary of the Treasury is authorized and empowered to prescribe from time to time regulations to carry out the purposes of sections 9 to 11, inclusive, of this Order as amended, and to provide in such regulations or by rulings made pursuant thereto, the conditions under which licenses may be granted by such agencies as the Secretary of the Treasury may designate."

THE WHITE HOUSE,
May 10, 1940.
7:55 E. S. T. A.M.

FRANKLIN D. ROOSEVELT

AMENDMENT TO REGULATIONS

The regulations of April 10, 1940, are amended to read as follows:

“REGULATIONS

“Relating to Transactions In Foreign Exchange, Transfers of Credit, Payments, and the Export or Withdrawal of Coin, Bullion and Currency, and Transfers, Withdrawals and Exportations of, or Dealings In, Evidences of Indebtedness or Ownership; and to Reports of Foreign Property Interests In the United States. (*)

“SECTION 130.1. *Authority for regulations.* These regulations are prescribed and issued under authority of Section 5(b) of the Act of October 6, 1917 (40 Stat. 411), as amended, and Executive Order No. 6560, dated January 15, 1934, as amended. (*)

“SECTION 130.2. *Definitions.*

“(a) The term ‘Order’ shall refer to Executive Order No. 6560, of January 15, 1934, as amended.

“(b) The term ‘regulations’ shall refer to these regulations.

“(c) The terms ‘property’ and ‘property interest’ or ‘property interests’ shall include, but not by way of limitation, money, checks, drafts, bullion, bank deposits, savings accounts, any debts, indebtedness or obligations, financial securities commonly dealt in by bankers, brokers, and investment houses, notes, debentures, stocks, bonds, coupons, bankers’ acceptances, mortgages, pledges, liens or other right in the nature of security, warehouse receipts, bills of lading, trust receipts, bills of sale, any other evidences of title, ownership or indebtedness, goods, wares, merchandise, chattels, stocks on hand, ships, goods on ships, real estate mortgages, vendors’ sales agreements, land contracts, real estate and any interest therein, leaseholds, ground rents, options, negotiable instruments, trade acceptances, royalties, book accounts, accounts payable, judgments, patents, trade-marks, copyrights, insurance policies, safe deposit boxes and their contents, annuities, et cetera.

“(d) Safe deposit boxes shall be deemed to be in the ‘custody’ not only of all persons having access thereto but also of the lessors of such boxes whether or not such lessors have access to such boxes. The foregoing shall not in any way be regarded as a limitation upon the meaning of the term ‘custody’.

“(e) For the meaning of other terms reference should be made to the definitions contained in the Order. (*)

“SECTION 130.3. *Licenses to engage in foreign exchange transactions, etc.* Applications for licenses to engage in foreign exchange transactions, transfers of credit, payments, the export or withdrawal from the United States or the earmarking of gold or silver coin or bullion or currency, or the transfer, withdrawal or exportation of, or dealing in, any evidences of indebtedness or evidences of ownership of property, involving property in which Norway or Denmark or any national thereof has at any time on or since April 8, 1940, had any interest of any nature whatsoever, direct or indirect, or in which The Netherlands, Belgium or Luxembourg or any national thereof has at any time on or since May 10, 1940, had any interest of any nature whatsoever, direct or indirect, shall be filed in duplicate with the Federal Reserve bank of the district or the Governor or High Commissioner of the territory or possession of the United States in which the applicant resides or has his principal place of business or principal office or agency, or with the Federal Reserve Bank of New York if the applicant has no legal residence or principal place of business or principal office or agency in a Federal Reserve district or a territory or possession of the United States. Application forms may be obtained from any Federal Reserve bank, mint or assay office, or the Secretary of the Treasury, Washington, D. C. Applications shall be executed under oath before an officer authorized to administer oaths, or if executed outside of the United States, before a diplomatic or consular officer of the United States. The applicant shall furnish such further information as shall be requested of him by the Secretary of the Treasury or the Federal Reserve bank or other agency at which the application is filed. Licenses will be issued by the Secretary of the Treasury, acting directly or through any agencies that he may designate, and by the Federal Reserve banks acting in accordance with such rules, regulations, and instructions as the Secretary of the Treasury may from time to time prescribe, in such cases or classes of cases as the Secretary of the Treasury may determine in rules, regulations, and instructions prescribed by him. The Federal Reserve bank or other agency at which an application is filed will advise the applicant of the granting or denial of the license. Licenses for the export or withdrawal of currency or gold or silver coin or bullion or evidences of indebtedness or evidences of ownership of property, after having been cancelled by the collector of customs or the postmaster through

(*) Sections 130.1 to 130.6.—Sec. 5(b), 40 Stat. 415 and 966; Sec. 2, 48 Stat. 1; Public Resolution No. 69, 76th Congress; 12 U. S. C. 93a; Ex. Order 6560, Jan. 15, 1934; Ex. Order 8389, April 10, 1940; Ex. Order 8405, May 10, 1940.

whom the exportation or withdrawal was made, may be returned by such collector of customs or postmaster to the licensee. Appropriate forms for applications and licenses will be prescribed by the Secretary of the Treasury. Licensees may be required to file reports upon the consummation of the transactions. The decision of the Secretary of the Treasury with respect to the approval or disapproval of any application shall be final. (*)

“SECTION 130.4. *Reports of Property Interests of Norway, Denmark, The Netherlands, Belgium, Luxembourg and nationals thereof.*

“(a) On or before May 15, 1940, reports shall be filed on Form TFR-100, duly executed under oath, containing the information called for in such form, with respect to all property situated in the United States on April 8, 1940, in which Norway or Denmark or any national thereof has at any time on or since April 8, 1940, had any interest of any nature whatsoever, direct or indirect. Within thirty days of the publication of these regulations in the Federal Register, reports shall be filed on Form TFR-100, duly executed under oath, containing the information called for in such form, with respect to all property situated in the United States on May 10, 1940, in which The Netherlands, Belgium or Luxembourg or any national thereof has at any time on or since May 10, 1940, had any interest of any nature whatsoever, direct or indirect. Such reports shall be filed by:

“(1) Every person in the United States directly or indirectly holding, or having title to, or custody, control, or possession of such property including, without any limitation whatsoever of the foregoing, every partnership, association, or corporation organized under the laws of the United States or any state or territory of the United States, or having its principal place of business in the United States, in the shares of whose stock or in whose debentures, notes, bonds, coupons, or other obligations or securities Norway or Denmark or any national thereof has at any time on or since April 8, 1940, had any interest of any nature whatsoever, direct or indirect, or in which The Netherlands, Belgium, or Luxembourg or any national thereof has at any time on or since May 10, 1940, had any interest of any nature whatsoever, direct or indirect; and

“(2) Every agent or representative in the United States for Norway, Denmark, The Netherlands, Belgium or Luxembourg or any national thereof having any information with respect to any such property.

“Provided, That no report on Form TFR-100 need be filed where the total value of all property interests to be reported is less than \$250.

“(b) Reports shall be executed and filed in triplicate with the Federal Reserve bank of the district or the Governor or High Commissioner of the territory or possession of the United States in which the party filing the report resides or has his principal place of business or principal office or agency, or if such party has no legal residence or principal place of business or principal office or agency in a Federal Reserve district or a territory or possession of the United States, then with the Federal Reserve Bank of New York. A report shall be deemed to have been filed when it is received by the proper Federal Reserve bank or other agency or when it is properly addressed and mailed and bears a postmark dated prior to midnight of the date upon which the report is due. The Federal Reserve bank and other agencies shall promptly forward two copies of every report filed with it to the Secretary of the Treasury.

“(c) (1) All spaces in the report must be properly filled in. Reports found not to be in proper form, or lacking in essential details, shall not be deemed to have been filed in compliance with the order.

“(2) Where space in the report form does not permit full answers to questions, the information required may be set forth in supplementary papers incorporated by reference in the report and submitted therewith. Supplementary documents and papers must be referred to in the principal statement in chronological or other appropriate order and be described in such manner that they can be identified.

“(d) A separate report under oath must be filed by each person required to file a report except that persons holding property jointly may file a joint report.

“(e) The Secretary of the Treasury may, in his discretion, grant such extensions of time as he deems advisable for the making of any or all of the reports required by these regulations.

“(f) Report Form TFR-100 may be obtained from any Federal Reserve bank, mint or assay office and the Secretary of the Treasury, Washington, D. C. (*)

“SECTION 130.5. *Penalties.* Section 5(b) of the Act of October 6, 1917, as amended by Section 2 of the Act of March 9, 1933, provides in part:

“* * * Whoever willfully violates any of the provisions of this subdivision or of any license, order, rule or regulation issued thereunder, shall, upon conviction, be fined not more than \$10,000, or, if a natural person, may be imprisoned for not more than ten years, or both; and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both. As used in this subdivision the term ‘person’ means an individual, partnership, association, or corporation.” (*)

“SECTION 130.6. *Modification or revocation.* These regulations and any forms or instructions issued hereunder may be modified or revoked at any time. (*)”

HENRY MORGENTHAU, JR.
Secretary of the Treasury.

APPROVED: May 10, 1940.
7:55 A. M. E.S.T.

FRANKLIN D. ROOSEVELT.

APPLICATION FOR A LICENSE TO ENGAGE IN A FOREIGN EXCHANGE TRANSACTION, TRANSFER OF CREDIT, PAYMENT, EXPORT OR WITHDRAWAL FROM THE UNITED STATES, OR THE EAR-MARKING, OF GOLD OR SILVER COIN OR BULLION OR CURRENCY, OR THE TRANSFER, WITHDRAWAL OR EXPORTATION OF, OR DEALING IN, EVIDENCES OF INDEBTEDNESS OR EVIDENCES OF OWNERSHIP OF PROPERTY.

(To be executed and filed in duplicate with the Federal Reserve Bank for the district or with the Governor or High Commissioner of the territory or possession of the United States in which the applicant resides or has his principal place of business or principal office or agency. If the applicant has no legal residence or principal place of business or principal office or agency in a Federal Reserve district or such territory or possession the application should be filed with the Federal Reserve Bank of New York.)

TO THE SECRETARY OF THE TREASURY
Washington, D. C.

Sir:

I.

In accordance with Executive Order No. 6560 of January 15, 1934, as amended, relative to transactions in foreign exchange, transfers of credit, and the export of coin and currency, and the regulations issued thereunder, the undersigned hereby applies for a license to execute the transaction described below:

A. The name of the applicant is.....
the applicant's residence or principal place of business is located at
.....
(Street) (City) (State)

and the citizenship* of the applicant is.....
B. The applicant has since.....been engaged in the business of
.....
(State nature of business.)

C. The applicant desires a license in order to:
(State in detail the nature, purpose and amount of the transaction, and the name, address, nationality* and extent of interest of every party, including the applicant, involved or interested in the transaction.)

* In the case of a corporation give country of incorporation, countries in which corporation is doing business and also predominant citizenship of stockholders.

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II.

D. The applicant represents and warrants that no party other than those mentioned in item C above has any interest, direct or indirect, in the transaction or transactions for which a license is applied for herein. If there are any exceptions, note them below.

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E. The applicant represents and warrants that all the facts herein stated are correct and true and that he does not have knowledge of any material facts in connection with such application which are not fully and accurately set forth herein. (Attach hereto schedules of any additional material information.)

F. The applicant represents and warrants that he has complied, and agrees that he will comply, in all respects, with the Executive Order No. 6560 of January 15, 1934, as amended, and the regulations issued thereunder, and with any and all licenses issued to the applicant pursuant thereto, and that, with respect to the transaction here involved, no other application of the undersigned for a license has been filed or is pending.

.....
Applicant

By.....

State of }
County of } ss:

I,, on oath, depose and say that I am the applicant in the above application for license, or the.....
(State relationship to applicant.)

of, which is the applicant in the above application for
(Name of applicant, if applicant is other than individual.)

a license; that I am duly authorized to make the foregoing application on behalf of the applicant; that I have personal knowledge of the facts as set forth in said application and know the same to be true and accurate; and that I do not have knowledge of any material facts in connection with such application which are not fully and accurately set forth herein.

.....
(Signature of affiant)

.....
(Address)

Subscribed and sworn to before me this day of, 194 .

(Notarial Seal)

.....
(Officer administering oath.)

My commission expires

RECOMMENDATION OF FEDERAL RESERVE BANK

TO THE SECRETARY OF THE TREASURY:

The above application is forwarded to the Secretary of the Treasury with the recommendation that a license should be (granted in the following amount.....).
(denied).

Remarks:
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Respectfully,

FEDERAL RESERVE BANK OF NEW YORK

By.....

License numbered granted on
Licensed denied.

NOTE: If this application covers gold in any form the provisions of the Provisional Regulations issued under the Gold Reserve Act of 1934 must also be complied with.

Copies of this form may be obtained, on request, at any Federal Reserve Bank, mint or assay office, or the Treasury Department, Washington, D. C.

L I C E N S E

(GRANTED UNDER THE AUTHORITY OF EXECUTIVE ORDER NO. 6560 OF JANUARY 15, 1934, AS AMENDED, AND THE REGULATIONS ISSUED THEREUNDER)

To
Name of Licensee

.....
Address of Licensee

Sirs:

1. Pursuant to your application of 194 , you are hereby licensed to enter into the following transaction:

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2. This license is granted upon the statements and representations made in your application, or otherwise filed with or made to the Treasury Department as a supplement to your application, and is subject to the conditions, among others, that you will comply in all respects with Executive Order No. 6560 of January 15, 1934, as amended, and the regulations issued thereunder and the terms of this license.

3. The licensee shall furnish and make available for inspection any relevant information, records or reports requested by the Secretary of the Treasury, the Federal Reserve bank through which the license was issued, the Postmaster at the place of mailing or the Collector of Customs at the port of exportation.

4. This license expires 15 days from the date of its issuance, is not transferable, is subject to the provisions of Executive Order No. 6560 of January 15, 1934, as amended, and the regulations issued thereunder and may be revoked or modified at any time in the discretion of the Secretary of the Treasury acting directly or through the agency through which the license was issued, or any other agency designated by the Secretary of the Treasury. If this license was issued as a result of willful misrepresentation on the part of the applicant or his duly authorized agent, it may, in the discretion of the Secretary of the Treasury, be declared void from the date of its issuance, or from any other date.

Issued by direction and on behalf of the Secretary of the Treasury:

FEDERAL RESERVE BANK OF NEW YORK

By

The Act of October 6, 1917, as amended, provides in part as follows:

“ * * * Whoever willfully violates any of the provisions of this subdivision or of any license, order, rule or regulation issued thereunder, shall, upon conviction, be fined not more than \$10,000, or, if a natural person, may be imprisoned for not more than ten years, or both; and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment or both.”

NOTE: If this license covers gold in any form the provisions of the Provisional Regulations issued under the Gold Reserve Act of 1934 must also be complied with.

REPORT TO BE FILED BY PERSONS ISSUED LICENSES ON FORM TFER-1.

(To be executed in duplicate and filed by the licensee with the Federal Reserve bank through which the license was issued. This report should be filed as indicated in the license.)

To THE SECRETARY OF THE TREASURY,
Washington, D. C.

Sir:

A. The name and address of the licensee is:.....
(Name of Licensee)
.....
(Address of Licensee)

B. The date of issuance and of expiration, and serial number of the license are:
.....194 ;194 ;
(Date of Issuance) (Date of Expiration) (Serial Number)

C. The period covered by this report is:.....194 to.....194 .

D. The transactions covered by such license were consummated as follows:
(State in detail all of the facts relating to the consummation of the transactions covered by such license. If additional space is needed use reverse side.)

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E. The licensee represents that he has complied in all respects with the provisions of Executive Order No. 6560 of January 15, 1934, as amended, and the regulations issued thereunder, and has complied in all respects with the terms of the license described in item B.

.....
(Name of Licensee)
By.....

State of }
County of } ss:

I,, on oath, depose and say that I am the person for whom this report is made, or that I am the.....
(State relationship of affiant to the person for whom this report is made)

of the which is the person for whom
(Name of partnership, association, or corporation for which this report is made)
this report is made; that I am duly authorized to make this report on behalf of such person; that I have full knowledge of the facts set forth herein and know them to be true and accurate; and that I do not have knowledge of any material facts in connection with this report which have not been fully and accurately set forth herein.

.....
(Signature of affiant)
.....
(Address of affiant)

Subscribed and sworn to before me this..... day of, 194 .

(Notarial Seal)
(Officer administering oath)

My commission expires

REPORT TO BE FILED BY PERSONS ISSUED LICENSES ON FORM TFEL-1.

(To be executed in duplicate and filed by the licensee with the Federal Reserve bank through which the license was issued. This report should be filed as indicated in the license.)

To THE SECRETARY OF THE TREASURY,
Washington, D. C.

Sir:

A. The name and address of the licensee is:.....
(Name of Licensee)

.....
(Address of Licensee)

B. The date of issuance and of expiration, and serial number of the license are:
.....194 ;194 ;
(Date of Issuance) (Date of Expiration) (Serial Number)

C. The period covered by this report is:.....194 to.....194 .

D. The transactions covered by such license were consummated as follows:
(State in detail all of the facts relating to the consummation of the transactions covered by such license.
If additional space is needed use reverse side.)

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E. The licensee represents that he has complied in all respects with the provisions of Executive Order No. 6560 of January 15, 1934, as amended, and the regulations issued thereunder, and has complied in all respects with the terms of the license described in item B.

.....
(Name of Licensee)

By.....

State of }
County of } ss:

I,, on oath, depose and say that I am the person for whom this report is made, or that I am the.....
(State relationship of affiant to the person for whom this report is made)

of the which is the person for whom
(Name of partnership, association, or corporation for which this report is made)

this report is made; that I am duly authorized to make this report on behalf of such person; that I have full knowledge of the facts set forth herein and know them to be true and accurate; and that I do not have knowledge of any material facts in connection with this report which have not been fully and accurately set forth herein.

.....
(Signature of affiant)

.....
(Address of affiant)

Subscribed and sworn to before me this..... day of, 194 .

.....
(Officer administering oath)

(Notarial Seal)

My commission expires

REPORT TO BE FILED BY PERSONS ISSUED LICENSES ON FORM TFEL-1.

(To be executed in duplicate and filed by the licensee with the Federal Reserve bank through which the license was issued. This report should be filed as indicated in the license.)

To THE SECRETARY OF THE TREASURY,
Washington, D. C.

Sir:

A. The name and address of the licensee is:.....
(Name of Licensee)

.....
(Address of Licensee)

B. The date of issuance and of expiration, and serial number of the license are:

.....194 ;194 ;
(Date of Issuance) (Date of Expiration) (Serial Number)

C. The period covered by this report is:.....194 to.....194 .

D. The transactions covered by such license were consummated as follows:

(State in detail all of the facts relating to the consummation of the transactions covered by such license. If additional space is needed use reverse side.)

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E. The licensee represents that he has complied in all respects with the provisions of Executive Order No. 6560 of January 15, 1934, as amended, and the regulations issued thereunder, and has complied in all respects with the terms of the license described in item B.

.....
(Name of Licensee)

By.....

State of }
County of } SS:

I,, on oath, depose and say that I am the person for whom this report is made, or that I am the.....
(State relationship of affiant to the person for whom this report is made)

of the which is the person for whom
(Name of partnership, association, or corporation for which this report is made)

this report is made; that I am duly authorized to make this report on behalf of such person; that I have full knowledge of the facts set forth herein and know them to be true and accurate; and that I do not have knowledge of any material facts in connection with this report which have not been fully and accurately set forth herein.

.....
(Signature of affiant)

.....
(Address of affiant)

Subscribed and sworn to before me this..... day of, 194 .

.....
(Officer administering oath)

(Notarial Seal)

My commission expires

REPORT TO BE FILED BY PERSONS ISSUED LICENSES ON FORM TFEL-1.

(To be executed in duplicate and filed by the licensee with the Federal Reserve bank through which the license was issued. This report should be filed as indicated in the license.)

To THE SECRETARY OF THE TREASURY,
Washington, D. C.

Sir:

A. The name and address of the licensee is :.....
(Name of Licensee)
.....
(Address of Licensee)

B. The date of issuance and serial number of the license is :.....194 ;
(Date of issuance) (Serial Number)

C. The transactions covered by such license were consummated as follows :
(State in detail all of the facts relating to the consummation of the transactions covered by such license.)
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D. The licensee represents that he has complied in all respects with the provisions of Executive Order No. 6560 of January 15, 1934, as amended, and the regulations issued thereunder, and has complied in all respects with the terms of the license described in item B.

.....
(Name of Licensee)
By.....

State of }
County of } SS :

I,, on oath, depose and say that I am the person for whom this report is made, or that I am the
(State relationship of affiant to the person for whom this report is made)
of the which is the person for whom
(Name of partnership, association, or corporation for which this report is made)

this report is made; that I am duly authorized to make this report on behalf of such person; that I have full knowledge of the facts set forth herein and know them to be true and accurate; and that I do not have knowledge of any material facts in connection with this report which have not been fully and accurately set forth herein.

.....
(Signature of affiant)
.....
(Address of affiant)

Subscribed and sworn to before me this..... day of, 194 .

(Notarial Seal)

.....
(Officer administering oath)

My commission expires

REPORT

Relating to Property Situated in the United States on May 10, 1940, in which Luxembourg
OR ANY NATIONAL THEREOF had any Interest on May 10, 1940.

(To be executed and filed in triplicate on or before June 10, 1940, with the proper Federal Reserve Bank or the Governor or High Commissioner of a territory or possession of the United States as prescribed in Section 130.4(b) of the Regulations of April 10, 1940, as amended, relating to transactions in foreign exchange, etc.)

Instructions

(1) Before beginning to prepare this report, read carefully Executive Order No. 8389 of April 10, 1940, as amended,^(a) and the Regulations of April 10, 1940, as amended, copies of which may be obtained from the Treasury Department or any Federal Reserve bank.

(2) *Parties Required to Report and Property Interests to be Reported.*—For information as to parties required to file reports on this Form see particularly Section 130.4(a) of the Regulations. For information as to property interests to be reported see particularly Sections 130.2(c) and 130.4(a) of the Regulations. **NO REPORT ON FORM TFR-100 NEED BE FILED WHERE THE TOTAL VALUE OF ALL PROPERTY INTERESTS INVOLVED IS LESS THAN \$250.**

(3) Parties required to report may, instead of filing numerous individual reports, file a single report incorporating by reference schedules listing the information called for in this report form with respect to the property interests of Luxembourg and each of any number of nationals of Luxembourg.

(4) Where space in the report form does not permit full answers, the information may be set forth in supplementary papers incorporated by reference in the report and submitted therewith.

TO THE SECRETARY OF THE TREASURY:

The undersigned hereby makes the following report:

PART A

Name of party making report.....
Address.....
(No.) (Street) (City or County) (State)
Business.....
(Insert nature of business in which party reporting is engaged)

PART B

Name of national of Luxembourg (hereinafter referred to as "person") whose property interests are being reported^(b):
.....
.....

Last known residence or address.....
.....
.....

PART C

Relation between party making this report (named in Part A above) and person whose property interests are being reported (named in Part B above):
.....
.....

(Such as agent, trustee, custodian, banker, broker, nominee, or corporation issuing securities held or owned by party named in Part B)

PART D

The date as of which this report is required to be made is May 10, 1940. The property interests of the person named in Part B above, which are all of the property interests required to be reported by the party reporting (named in Part A above) in this report, are as follows:

(a) This Order is an amendment to Executive Order No. 6560 of January 15, 1934, regulating transactions in foreign exchange, etc.

(b) If property interests being reported are those of "Luxembourg" as defined in Section 11 of the Order, so indicate, and specify the government department, bureau, etc., which is particularly concerned.

SCHEDULE OF LUXEMBOURG PROPERTY

Value in
U. S. Dollars⁽¹⁾

1. Bullion
2. Currency
3. Demand Deposits
4. Time Deposits
5. Securities ⁽²⁾	
(a) United States Government obligations ⁽³⁾
(b) State, municipal and other local governmental obligations ⁽⁴⁾
(c) All other domestic securities:	
i. Bonds
ii. Preferred stocks
iii. Common stocks and other securities:	
(a-1) Common stock representing 10% or more of the issue outstanding. Indicate the percentage.....
(a-2) All others
(d) Foreign securities:	
i. Luxembourg ⁽⁵⁾
ii. All others
6. Credit Instruments and Claims ⁽⁶⁾
7. Goods, merchandise and chattels
8. Real property
9. All other property interests ⁽⁷⁾
TOTAL

- (1) Wherever precise values are not available, give estimated values, and so indicate. Wherever possible use market value as of May 10, 1940. Fractions of dollars should be disregarded.
- (2) The term "securities" should be interpreted broadly to include under "bonds", matured coupons as well as debentures and bonds, and to include under "stocks" and "other securities", dividends payable, rights, warrants, scrip, and trust certificates as well as stocks, and to include privately as well as publicly offered issues.
- (3) Includes United States Government guaranteed obligations.
- (4) This item refers to obligations of states, etc., in the United States. Obligations of foreign governments and political subdivisions thereof should be included under item 5(d).
- (5) Includes private as well as public securities issued in Luxembourg.
- (6) The nature of the credit instruments and claims reported should be specifically indicated. Credit instruments and claims include such things as promissory notes, bills of exchange, drafts, acceptances, book accounts, accounts payable, mortgages on personal and real property, present value of insurance policies, judgments, contracts and any claim or debt or obligation not of the character otherwise scheduled herein, in which the person named in Part B above has any interest. All instruments and claims, whether or not due, must be reported.
- (7) The nature of the property interests reported should be specifically indicated. See definition of property interests in Section 130.2 of the Regulations.

If foregoing does not fully cover the information required to be furnished on this Form with respect to the property interests of the person named in Part B above, explain why and attach additional schedules, if necessary.

(Signature of party making report.)

} by _____
(Partnerships should sign by member or duly authorized representative. Corporations or associations should sign by officer or other duly authorized representative, and should affix corporate or official seal.)

(SEAL)

AFFIDAVIT OF PARTY MAKING REPORT

State of _____ }
County of _____ } ss:

I, _____, on oath, depose and say that I am the person making this report (that is, the party named in Part A above), or that I am the _____
(State relationship of affiant to the person for whom this report is made)

_____ of the _____
(Name of partnership, association, or corporation for which this report is made)

which is the person for whom this report is made; that I am duly authorized to make this report on behalf of such person; and that I believe that the facts set forth herein are true and accurate and that all material facts in connection with this report have been set forth herein.

(Signature of affiant)

(Address of affiant)

Subscribed and sworn to before me this _____ day of _____, 194 .

[NOTARIAL SEAL]

(Officer administering oath)

My commission expires.....

NOTE.—This report will not be accepted unless properly signed and sworn to before an officer authorized to administer oaths, whose seal should be affixed. If the report is to be executed outside the jurisdiction of the United States then it must be signed and sworn to before a diplomatic or consular officer of the United States.

REPORT

Relating to Property Situated in the United States on May 10, 1940, in which Luxembourg
OR ANY NATIONAL THEREOF had any Interest on May 10, 1940.

(To be executed and filed in triplicate on or before June 10, 1940, with the proper Federal Reserve Bank or the Governor or High Commissioner of a territory or possession of the United States as prescribed in Section 130.4(b) of the Regulations of April 10, 1940, as amended, relating to transactions in foreign exchange, etc.)

Instructions

(1) Before beginning to prepare this report, read carefully Executive Order No. 8389 of April 10, 1940, as amended,^(a) and the Regulations of April 10, 1940, as amended, copies of which may be obtained from the Treasury Department or any Federal Reserve bank.

(2) *Parties Required to Report and Property Interests to be Reported.*—For information as to parties required to file reports on this Form see particularly Section 130.4(a) of the Regulations. For information as to property interests to be reported see particularly Sections 130.2(c) and 130.4(a) of the Regulations. **NO REPORT ON FORM TFR-100 NEED BE FILED WHERE THE TOTAL VALUE OF ALL PROPERTY INTERESTS INVOLVED IS LESS THAN \$250.**

(3) Parties required to report may, instead of filing numerous individual reports, file a single report incorporating by reference schedules listing the information called for in this report form with respect to the property interests of Luxembourg and each of any number of nationals of Luxembourg.

(4) Where space in the report form does not permit full answers, the information may be set forth in supplementary papers incorporated by reference in the report and submitted therewith.

TO THE SECRETARY OF THE TREASURY:

The undersigned hereby makes the following report:

PART A

Name of party making report.....

Address.....
(No.) (Street) (City or County) (State)

Business.....
(Insert nature of business in which party reporting is engaged)

PART B

Name of national of Luxembourg (hereinafter referred to as "person") whose property interests are being reported^(b) :
.....

Last known residence or address.....
.....

PART C

Relation between party making this report (named in Part A above) and person whose property interests are being reported (named in Part B above) :
.....

(Such as agent, trustee, custodian, banker, broker, nominee, or corporation issuing securities held or owned by party named in Part B)

PART D

The date as of which this report is required to be made is May 10, 1940. The property interests of the person named in Part B above, which are all of the property interests required to be reported by the party reporting (named in Part A above) in this report, are as follows:

(a) This Order is an amendment to Executive Order No. 6560 of January 15, 1934, regulating transactions in foreign exchange, etc.

(b) If property interests being reported are those of "Luxembourg" as defined in Section 11 of the Order, so indicate, and specify the government department, bureau, etc., which is particularly concerned.

SCHEDULE OF LUXEMBOURG PROPERTY

Value in
U. S. Dollars(1)

1. Bullion	-----
2. Currency	-----
3. Demand Deposits	-----
4. Time Deposits	-----
5. Securities(2)	
(a) United States Government obligations(3)	-----
(b) State, municipal and other local governmental obligations(4)	-----
(c) All other domestic securities:	
i. Bonds	-----
ii. Preferred stocks	-----
iii. Common stocks and other securities:	-----
(a) Common stock representing 10% or more of the issue outstanding. Indicate the percentage.....	-----
(b) All others	-----
(d) Foreign securities:	
a. Luxembourg(5)	-----
b. All others	-----
6. Credit Instruments and Claims(6)	-----
7. Goods, merchandise and chattels	-----
8. Real property	-----
9. All other property interests(7)	-----
TOTAL	

- (1) Wherever precise values are not available, give estimated values, and so indicate. Wherever possible use market value as of May 10, 1940. Fractions of dollars should be disregarded.
- (2) The term "securities" should be interpreted broadly to include under "bonds", matured coupons as well as debentures and bonds, and to include under "stocks" and "other securities", dividends payable, rights, warrants, scrip, and trust certificates as well as stocks, and to include privately as well as publicly offered issues.
- (3) Includes United States Government guaranteed obligations.
- (4) This item refers to obligations of states, etc., in the United States. Obligations of foreign governments and political subdivisions thereof should be included under item 5(d).
- (5) Includes private as well as public securities issued in Luxembourg.
- (6) The nature of the credit instruments and claims reported should be specifically indicated. Credit instruments and claims include such things as promissory notes, bills of exchange, drafts, acceptances, book accounts, accounts payable, mortgages on personal and real property, present value of insurance policies, judgments, contracts and any claim or debt or obligation not of the character otherwise scheduled herein, in which the person named in Part B above has any interest. All instruments and claims, whether or not due, must be reported.
- (7) The nature of the property interests reported should be specifically indicated. See definition of property interests in Section 130.2 of the Regulations.

If foregoing does not fully cover the information required to be furnished on this Form with respect to the property interests of the person named in Part B above, explain why and attach additional schedules, if necessary.

(Signature of party making report.)

} by _____
(Partnerships should sign by member or duly authorized representative. Corporations or associations should sign by officer or other duly authorized representative, and should affix corporate or official seal.)

AFFIDAVIT OF PARTY MAKING REPORT

State of }
County of } ss:

I, _____, on oath, depose and say that I am the person making this report (that is, the party named in Part A above), or that I am the _____
(State relationship of affiant to the person for whom this report is made)

_____ of the _____
(Name of partnership, association, or corporation for which this report is made)
which is the person for whom this report is made; that I am duly authorized to make this report on behalf of such person; and that I believe that the facts set forth herein are true and accurate and that all material facts in connection with this report have been set forth herein.

(Signature of affiant)

(Address of affiant)

Subscribed and sworn to before me this _____ day of _____, 194 .

(Officer administering oath)

[NOTARIAL SEAL]

My commission expires.....

NOTE.—This report will not be accepted unless properly signed and sworn to before an officer authorized to administer oaths, whose seal should be affixed. If the report is to be executed outside the jurisdiction of the United States then it must be signed and sworn to before a diplomatic or consular officer of the United States.

A copy of this report should be retained by the party reporting for his files.

REPORT

Relating to Property Situated in the United States on May 10, 1940, in which Belgium
OR ANY NATIONAL THEREOF had any Interest on May 10, 1940.

(To be executed and filed in triplicate on or before June 10, 1940, with the proper Federal Reserve Bank or the Governor or High Commissioner of a territory or possession of the United States as prescribed in Section 130.4(b) of the Regulations of April 10, 1940, as amended, relating to transactions in foreign exchange, etc.)

Instructions

(1) Before beginning to prepare this report, read carefully Executive Order No. 8389 of April 10, 1940, as amended,^(a) and the Regulations of April 10, 1940, as amended, copies of which may be obtained from the Treasury Department or any Federal Reserve bank.

(2) *Parties Required to Report and Property Interests to be Reported.*—For information as to parties required to file reports on this Form see particularly Section 130.4(a) of the Regulations. For information as to property interests to be reported see particularly Sections 130.2(c) and 130.4(a) of the Regulations. NO REPORT ON FORM TFR-100 NEED BE FILED WHERE THE TOTAL VALUE OF ALL PROPERTY INTERESTS INVOLVED IS LESS THAN \$250.

(3) Parties required to report may, instead of filing numerous individual reports, file a single report incorporating by reference schedules listing the information called for in this report form with respect to the property interests of Belgium and each of any number of nationals of Belgium.

(4) Where space in the report form does not permit full answers, the information may be set forth in supplementary papers incorporated by reference in the report and submitted therewith.

TO THE SECRETARY OF THE TREASURY :

The undersigned hereby makes the following report:

PART A

Name of party making report.....

Address.....
(No.) (Street) (City or County) (State)

Business.....
(Insert nature of business in which party reporting is engaged)

PART B

Name of national of Belgium (hereinafter referred to as "person") whose property interests are being reported^(b) :

.....

Last known residence or address.....

.....

PART C

Relation between party making this report (named in Part A above) and person whose property interests are being reported (named in Part B above) :

.....
(Such as agent, trustee, custodian, banker, broker, nominee, or corporation issuing securities held or owned by party named in Part B)

.....

PART D

The date as of which this report is required to be made is May 10, 1940. The property interests of the person named in Part B above, which are all of the property interests required to be reported by the party reporting (named in Part A above) in this report, are as follows:

- (a) This Order is an amendment to Executive Order No. 6560 of January 15, 1934, regulating transactions in foreign exchange, etc.
- (b) If property interests being reported are those of "Belgium" as defined in Section 11 of the Order, so indicate, and specify the government department, bureau, etc., which is particularly concerned.

SCHEDULE OF BELGIAN PROPERTY

Value in
U. S. Dollars⁽¹⁾

1. Bullion	-----
2. Currency	-----
3. Demand Deposits	-----
4. Time Deposits	-----
5. Securities ⁽²⁾	
(a) United States Government obligations ⁽³⁾	-----
(b) State, municipal and other local governmental obligations ⁽⁴⁾	-----
(c) All other domestic securities:	
i. Bonds	-----
ii. Preferred stocks	-----
iii. Common stocks and other securities:	
(a) Common stock representing 10% or more of the issue outstanding. Indicate the percentage.....	-----
(b) All others	-----
(d) Foreign securities:	
a. Belgian ⁽⁵⁾	-----
b. All others	-----
6. Credit Instruments and Claims ⁽⁶⁾	-----
7. Goods, merchandise and chattels	-----
8. Real property	-----
9. All other property interests ⁽⁷⁾	-----
TOTAL	

- (1) Wherever precise values are not available, give estimated values, and so indicate. Wherever possible use market value as of May 10, 1940. Fractions of dollars should be disregarded.
- (2) The terms "securities" should be interpreted broadly to include under "bonds", matured coupons as well as debentures and bonds, and to include under "stocks" and "other securities", dividends payable, rights, warrants, scrip, and trust certificates as well as stocks, and to include privately as well as publicly offered issues.
- (3) Includes United States Government guaranteed obligations.
- (4) This item refers to obligations of states, etc., in the United States. Obligations of foreign governments and political subdivisions thereof should be included under item 5(d).
- (5) Includes private as well as public securities issued in Belgium.
- (6) The nature of the credit instruments and claims reported should be specifically indicated. Credit instruments and claims include such things as promissory notes, bills of exchange, drafts, acceptances, book accounts, accounts payable, mortgages on personal and real property, present value of insurance policies, judgments, contracts and any claim or debt or obligation not of the character otherwise scheduled herein, in which the person named in Part B above has any interest. All instruments and claims, whether or not due, must be reported.
- (7) The nature of the property interests reported should be specifically indicated. See definition of property interests in Section 130.2 of the Regulations.

If foregoing does not fully cover the information required to be furnished on this Form with respect to the property interests of the person named in Part B above, explain why and attach additional schedules, if necessary.

(Signature of party making report.)

} by _____
(Partnerships should sign by member or duly authorized representative. Corporations or associations should sign by officer or other duly authorized representative, and should affix corporate or official seal.)

(SEAL)

AFFIDAVIT OF PARTY MAKING REPORT

State of _____ }
County of _____ } ss:

I, _____, on oath, depose and say that I am the person making this report (that is, the party named in Part A above), or that I am the _____
(State relationship of affiant to the person for whom this report is made)

_____ of the _____
(Name of partnership, association, or corporation for which this report is made)
which is the person for whom this report is made; that I am duly authorized to make this report on behalf of such person; and that I believe that the facts set forth herein are true and accurate and that all material facts in connection with this report have been set forth herein.

(Signature of affiant)

(Address of affiant)

Subscribed and sworn to before me this _____ day of _____, 194 _____

(Officer administering oath)

[NOTARIAL SEAL]

My commission expires _____

NOTE.—This report will not be accepted unless properly signed and sworn to before an officer authorized to administer oaths, whose seal should be affixed. If the report is to be executed outside the jurisdiction of the United States then it must be signed and sworn to before a diplomatic or consular officer of the United States.

A copy of this report should be retained by the party reporting for his files.

REPORT

Relating to Property Situated in the United States on May 10, 1940, in which the Netherlands OR ANY NATIONAL THEREOF had any Interest on May 10, 1940.

(To be executed and filed in triplicate on or before June 10, 1940, with the proper Federal Reserve Bank or the Governor or High Commissioner of a territory or possession of the United States as prescribed in Section 130.4(b) of the Regulations of April 10, 1940, as amended, relating to transactions in foreign exchange, etc.)

Instructions

(1) Before beginning to prepare this report, read carefully Executive Order No. 8389 of April 10, 1940, as amended,^(a) and the Regulations of April 10, 1940, as amended, copies of which may be obtained from the Treasury Department or any Federal Reserve bank.

(2) *Parties Required to Report and Property Interests to be Reported.*—For information as to parties required to file reports on this Form see particularly Section 130.4(a) of the Regulations. For information as to property interests to be reported see particularly Sections 130.2(c) and 130.4(a) of the Regulations. **NO REPORT ON FORM TFR-100 NEED BE FILED WHERE THE TOTAL VALUE OF ALL PROPERTY INTERESTS INVOLVED IS LESS THAN \$250.**

(3) Parties required to report may, instead of filing numerous individual reports, file a single report incorporating by reference schedules listing the information called for in this report form with respect to the property interests of the Netherlands and each of any number of nationals of the Netherlands.

(4) Where space in the report form does not permit full answers, the information may be set forth in supplementary papers incorporated by reference in the report and submitted therewith.

TO THE SECRETARY OF THE TREASURY:

The undersigned hereby makes the following report:

PART A

Name of party making report.....
Address.....
(No.) (Street) (City or County) (State)
Business.....
(Insert nature of business in which party reporting is engaged)

PART B

Name of national of the Netherlands (hereinafter referred to as "person") whose property interests are being reported^(b) :
.....

Last known residence or address.....
.....

PART C

Relation between party making this report (named in Part A above) and person whose property interests are being reported (named in Part B above) :
.....

(Such as agent, trustee, custodian, banker, broker, nominee, or corporation issuing securities held or owned by party named in Part B)

PART D

The date as of which this report is required to be made is May 10, 1940. The property interests of the person named in Part B above, which are all of the property interests required to be reported by the party reporting (named in Part A above) in this report, are as follows:

- (a) This Order is an amendment to Executive Order No. 6560 of January 15, 1934, regulating transactions in foreign exchange, etc.
- (b) If property interests being reported are those of "the Netherlands" as defined in Section 11 of the Order, so indicate, and specify the government department, bureau, etc., which is particularly concerned.

SCHEDULE OF NETHERLANDS PROPERTY

Value in
U. S. Dollars⁽¹⁾

- 1. Bullion
- 2. Currency
- 3. Demand Deposits
- 4. Time Deposits
- 5. Securities⁽²⁾
 - (a) United States Government obligations⁽³⁾
 - (b) State, municipal and other local governmental obligations⁽⁴⁾
 - (c) All other domestic securities:
 - i. Bonds
 - ii. Preferred stocks
 - iii. Common stocks and other securities:
 - (a-1) Common stock representing 10% or more of the issue outstanding.
Indicate the percentage.....
 - (a-2) All others
 - (d) Foreign securities:
 - i. Netherlands⁽⁵⁾
 - ii. All others
- 6. Credit Instruments and Claims⁽⁶⁾
- 7. Goods, merchandise and chattels
- 8. Real property
- 9. All other property interests⁽⁷⁾

TOTAL

- (1) Wherever precise values are not available, give estimated values, and so indicate. Wherever possible use market value as of May 10, 1940. Fractions of dollars should be disregarded.
- (2) The term "securities" should be interpreted broadly to include under "bonds", matured coupons as well as debentures and bonds, and to include under "stocks" and "other securities", dividends payable, rights, warrants, scrip, and trust certificates as well as stocks, and to include privately as well as publicly offered issues.
- (3) Includes United States Government guaranteed obligations.
- (4) This item refers to obligations of states, etc., in the United States. Obligations of foreign governments and political subdivisions thereof should be included under item 5(d).
- (5) Includes private as well as public securities issued in the Netherlands.
- (6) The nature of the credit instruments and claims reported should be specifically indicated. Credit instruments and claims include such things as promissory notes, bills of exchange, drafts, acceptances, book accounts, accounts payable, mortgages on personal and real property, present value of insurance policies, judgments, contracts and any claim or debt or obligation not of the character otherwise scheduled herein, in which the person named in Part B above has any interest. All instruments and claims, whether or not due, must be reported.
- (7) The nature of the property interests reported should be specifically indicated. See definition of property interests in Section 130.2 of the Regulations.

If foregoing does not fully cover the information required to be furnished on this Form with respect to the property interests of the person named in Part B above, explain why and attach additional schedules, if necessary.

(Signature of party making report.)

by _____
(Partnerships should sign by member or duly authorized representative. Corporations or associations should sign by officer or other duly authorized representative, and should affix corporate or official seal.)

(SEAL)

AFFIDAVIT OF PARTY MAKING REPORT

State of _____ }
County of _____ } ss:

I, _____, on oath, depose and say that I am the person making this report (that is, the party named in Part A above), or that I am the _____

(State relationship of affiant to the person for whom this report is made)

_____ of the _____
(Name of partnership, association, or corporation for which this report is made)

which is the person for whom this report is made; that I am duly authorized to make this report on behalf of such person; and that I believe that the facts set forth herein are true and accurate and that all material facts in connection with this report have been set forth herein.

(Signature of affiant)

(Address of affiant)

Subscribed and sworn to before me this _____ day of _____, 194 _____

[NOTARIAL SEAL]

(Officer administering oath)

My commission expires _____

NOTE.—This report will not be accepted unless properly signed and sworn to before an officer authorized to administer oaths, whose seal should be affixed. If the report is to be executed outside the jurisdiction of the United States then it must be signed and sworn to before a diplomatic or consular officer of the United States.

REPORT

Relating to Property Situated in the United States on May 10, 1940, in which the Netherlands OR ANY NATIONAL THEREOF had any Interest on May 10, 1940.

(To be executed and filed in triplicate on or before June 10, 1940, with the proper Federal Reserve Bank or the Governor or High Commissioner of a territory or possession of the United States as prescribed in Section 130.4(b) of the Regulations of April 10, 1940, as amended, relating to transactions in foreign exchange, etc.)

Instructions

(1) Before beginning to prepare this report, read carefully Executive Order No. 8389 of April 10, 1940, as amended,^(a) and the Regulations of April 10, 1940, as amended, copies of which may be obtained from the Treasury Department or any Federal Reserve bank.

(2) *Parties Required to Report and Property Interests to be Reported.*—For information as to parties required to file reports on this Form see particularly Section 130.4(a) of the Regulations. For information as to property interests to be reported see particularly Sections 130.2(c) and 130.4(a) of the Regulations. NO REPORT ON FORM TFR-100 NEED BE FILED WHERE THE TOTAL VALUE OF ALL PROPERTY INTERESTS INVOLVED IS LESS THAN \$250.

(3) Parties required to report may, instead of filing numerous individual reports, file a single report incorporating by reference schedules listing the information called for in this report form with respect to the property interests of the Netherlands and each of any number of nationals of the Netherlands.

(4) Where space in the report form does not permit full answers, the information may be set forth in supplementary papers incorporated by reference in the report and submitted therewith.

To THE SECRETARY OF THE TREASURY:

The undersigned hereby makes the following report:

PART A

Name of party making report.....
Address.....
(No.) (Street) (City or County) (State)
Business.....
(Insert nature of business in which party reporting is engaged)

PART B

Name of national of the Netherlands (hereinafter referred to as "person") whose property interests are being reported^(b):

.....
Last known residence or address.....
.....

PART C

Relation between party making this report (named in Part A above) and person whose property interests are being reported (named in Part B above):

.....
(Such as agent, trustee, custodian, banker, broker, nominee, or corporation issuing securities held or owned by party named in Part B)
.....

PART D

The date as of which this report is required to be made is May 10, 1940. The property interests of the person named in Part B above, which are all of the property interests required to be reported by the party reporting (named in Part A above) in this report, are as follows:

- (a) This Order is an amendment to Executive Order No. 6560 of January 15, 1934, regulating transactions in foreign exchange, etc.
- (b) If property interests being reported are those of "the Netherlands" as defined in Section 11 of the Order, so indicate, and specify the government department, bureau, etc., which is particularly concerned.

SCHEDULE OF NETHERLANDS PROPERTY

Value in
U. S. Dollars⁽¹⁾

1. Bullion	-----
2. Currency	-----
3. Demand Deposits	-----
4. Time Deposits	-----
5. Securities ⁽²⁾	
(a) United States Government obligations ⁽³⁾	-----
(b) State, municipal and other local governmental obligations ⁽⁴⁾	-----
(c) All other domestic securities:	
i. Bonds	-----
ii. Preferred stocks	-----
iii. Common stocks and other securities:	
(a) Common stock representing 10% or more of the issue outstanding. Indicate the percentage.....	-----
(b) All others	-----
(d) Foreign securities:	
a. Netherlands ⁽⁵⁾	-----
b. All others	-----
6. Credit Instruments and Claims ⁽⁶⁾	-----
7. Goods, merchandise and chattels	-----
8. Real property	-----
9. All other property interests ⁽⁷⁾	-----
	TOTAL

- (1) Wherever precise values are not available, give estimated values, and so indicate. Wherever possible use market value as of May 10, 1940. Fractions of dollars should be disregarded.
- (2) The terms "securities" should be interpreted broadly to include under "bonds", matured coupons as well as debentures and bonds, and to include under "stocks" and "other securities", dividends payable, rights, warrants, scrip, and trust certificates as well as stocks, and to include privately as well as publicly offered issues.
- (3) Includes United States Government guaranteed obligations.
- (4) This item refers to obligations of states, etc., in the United States. Obligations of foreign governments and political subdivisions thereof should be included under item 5(d).
- (5) Includes private as well as public securities issued in the Netherlands.
- (6) The nature of the credit instruments and claims reported should be specifically indicated. Credit instruments and claims include such things as promissory notes, bills of exchange, drafts, acceptances, book accounts, accounts payable, mortgages on personal and real property, present value of insurance policies, judgments, contracts and any claim or debt or obligation not of the character otherwise scheduled herein, in which the person named in Part B above has any interest. All instruments and claims, whether or not due, must be reported.
- (7) The nature of the property interests reported should be specifically indicated. See definition of property interests in Section 130.2 of the Regulations.

If foregoing does not fully cover the information required to be furnished on this Form with respect to the property interests of the person named in Part B above, explain why and attach additional schedules, if necessary.

(Signature of party making report.) }
 by _____
(Partnerships should sign by member or duly authorized representative. Corporations or associations should sign by officer or other duly authorized representative, and should affix corporate or official seal.)

(SEAL)

AFFIDAVIT OF PARTY MAKING REPORT

State of _____ }
 County of _____ } SS:

I, _____, on oath, depose and say that I am the person making this report (that is, the party named in Part A above), or that I am the _____
(State relationship of affiant to the person for whom this report is made)
 _____ of the _____
(Name of partnership, association, or corporation for which this report is made)
 which is the person for whom this report is made; that I am duly authorized to make this report on behalf of such person; and that I believe that the facts set forth herein are true and accurate and that all material facts in connection with this report have been set forth herein.

 (Signature of affiant)

 (Address of affiant)

Subscribed and sworn to before me this _____ day of _____, 194 .

 (Officer administering oath)

[NOTARIAL SEAL]

My commission expires.....

NOTE.—This report will not be accepted unless properly signed and sworn to before an officer authorized to administer oaths, whose seal should be affixed. If the report is to be executed outside the jurisdiction of the United States then it must be signed and sworn to before a diplomatic or consular officer of the United States.
 A copy of this report should be retained by the party reporting for his files.